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Attorney Docket No. 54008.8100.US00  
P01-0015

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: MICHAEL KENNY *ET AL.*

APPLICATION NO.: 09/925,884

FILED: AUGUST 6, 2001

FOR: **PROCESS AND APPARATUS FOR TREATING A  
WORKPIECE SUCH AS A SEMICONDUCTOR  
WAFER**

EXAMINER: Z. EL ARINI

ART UNIT: 1746

CONF. NO: 7035

#13  
AS  
11/15/3  
1/2

**Information Disclosure Statement After First Office Action but  
Before Final Action or Notice of Allowance – 37 C.F.R. § 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

The information transmitted herewith is being filed *after* three months of the filing date of this application or after the mailing date of the first Office action on the merits, whichever occurred last, but *before* the mailing date of either a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, whichever occurs first. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

Copies of all foreign patent references are enclosed.

3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the

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I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 25, 2003  
Date of Deposit

Debbie Gilbert  
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examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment (37 C.F.R. § 1.97(c)) or Certification (37 C.F.R. § 1.97(e))

Applicant elects to pay the \$180 fee under 37 C.F.R. § 1.17(p). A check in the amount of \$180 is enclosed.

Respectfully submitted,  
Perkins Coie LLP

Date: Sept. 25, 2003

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Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Inventor(s): Michael Kenny et al  
Serial No.: 09/925,884  
Title: Process and Apparatus for Treating a Workpiece  
Such as a Semiconductor Wafer  
Filed: August 6, 2001

**INFORMATION DISCLOSURE STATEMENT**

Attorney(s): Kenneth H. Ohriner  
Our Reference: 54008.8100.US00  
Date of Deposit: September 25, 2003, via U.S. Mail  
Enclosure(s): Information Disclosure Statement; Form PTO-1449 w/copies of foreign references; a check in the amount of \$180; and a return postcard.

**PERKINS COIE LLP**  
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